

12/14/01

JC86139 T.T.S. PTO

12-18-01

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Attorney Docket No. A6139/T43800  
Client Ref No. AMAT 006139/DD/HDP/CVD/JW  
TTC No.: 016301-043800US  
"Express Mail" Label No. EV049833706 US  
Date of Deposit: 12-14-01

**ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231**

Sir:  
Transmitted herewith for filing under 37 CFR 1.53(b) is the  
☒ patent application of  
☐ continuation patent application of  
☐ divisional patent application of  
☐ continuation-in-part patent application of

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

By: [Signature]

JC832 U.S. PTO  
10/017033  
12/14/01

Inventor(s)/Applicant Identifier: HICHEM M'SAAD

For: HDP-CVD FILM FOR UPPERCLADDING APPLICATION IN OPTICAL WAGEGUIDES

☐ This application claims priority from each of the following Application Nos./filing dates:  
\_\_\_\_\_  
the disclosure(s) of which is (are) incorporated by reference.  
☐ Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_, the disclosure of which is incorporated by reference."

**Enclosed are:**

- ☒ 1 page of the Title Page
- ☒ 22 page(s) of specification
- ☒ 5 page(s) of claims
- ☒ 1 page of Abstract
- ☒ 11 sheet(s) of ☐ formal ☒ informal drawing(s).
- ☒ An assignment of the invention to Applied Materials, Inc., a Delaware corporation.
- ☒ 1 page of a Recordation Form Cover Sheet.
- ☒ A ☒ signed ☐ unsigned Declaration & Power of Attorney
- ☒ A ☐ signed ☐ unsigned Declaration.
- ☒ A Power of Attorney by Assignee with Certificate Under 37 CFR Section 3.73(b).
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☐ is enclosed ☐ was filed in the prior application and small entity status is still proper and desired.
- ☒ A certified copy of a \_\_\_\_\_ application.
- ☒ Information Disclosure Statement under 37 CFR 1.97; PTO-1449; and 30 references.
- ☐ A petition to extend time to respond in the parent application.
- ☐ Notification of change of ☐ power of attorney ☐ correspondence address filed in prior application.
- ☒ Application Data Sheet

	(Col. 1)	(Col. 2)	
FOR:	NO. FILED	NO. EXTRA	
BASIC FEE			
TOTAL CLAIMS	28 - 20	= *8	
INDEP. CLAIMS	4 - 3	= *1	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			

\* If the difference in Col. 1 is less than 0, enter "0" in Col. 2.

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	FEE		RATE	FEE
	\$370.00	OR		\$740.00
x \$9.00 =		OR	x \$18.00 =	\$144.00
x \$42.00 =		OR	x \$84.00 =	\$84.00
+ \$140.00 =		OR	+ \$280.00 =	
TOTAL		OR	TOTAL	\$968.00

Please charge Deposit Account No. 50-1074 as follows:

- ☒ Filing fee \$ \$968.00
- ☒ Any additional fees associated with this paper or during the pendency of this application.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b)
- ☐ A check for \$ \_\_\_\_\_ is enclosed.
- ☐ 1 extra copy of this sheet is enclosed.

Respectfully submitted,

[Signature]  
Robert W. Mulcahy  
Reg No.: 25,436  
Attorneys for Applicant

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Facsimile: (650) 326-2422

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

12/20/2001 GGEBREA1 00000051 501074 10017033

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:103	144.00 CH

PTO-1556

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